

# Binomial coefficients and generating functions

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## Binomial coefficients

The binomial coefficients  $\binom{n}{k}$  counts the number of ways one can choose  $k$  objects from given  $n$ . They are coefficients in the binomial expansion

$$(x+1)^n = \binom{n}{0}x^n + \binom{n}{1}x^{n-1} + \cdots + \binom{n}{n-1}x + \binom{n}{n}.$$

More explicitly,

$$\binom{n}{k} = \frac{n!}{k!(n-k)!} = \frac{n(n-1)\cdots(n-k+1)}{k!}.$$

A very useful formula for the binomial coefficients is

$$\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1}.$$

Here are two simple exercises about binomial coefficients.

- Prove that if  $n = 2^m$  with  $m$  a positive integer, then

$$\binom{n}{k}$$

is an even integer for any  $1 \leq k \leq n-1$ .

- Let  $m$  and  $n$  be integers such that  $1 \leq m \leq n$ . Prove that  $m$  divides the number

$$n \sum_{k=0}^{m-1} (-1)^k \binom{n}{k}.$$

## Generating functions

The terms of a sequence  $(a_n)_{n \geq 0}$  can be combined into a function

$$G(x) = a_0 + a_1x + a_2x^2 + \cdots + a_nx^n + \cdots,$$

called the generating function of the sequence. For example, the finite sequence  $\binom{m}{n}$ , with  $m$  fixed and  $n$  varies, gives the function  $(x+1)^m$ . The generating function for  $a_n = \frac{1}{n}$  is  $-\ln(1-x)$ .

Generating functions provide a method to understand recursive relations of a sequence.

**Theorem.** Suppose  $a_n$  ( $n \geq 0$ ) is a sequence satisfying a second-order linear recurrence,

$$a_n + ua_{n-1} + va_{n-2} = 0.$$

Suppose that the quadratic equation  $\lambda^2 + u\lambda + v = 0$  has two distinct roots  $r_1, r_2$ . Then

$$a_n = \alpha r_1^n + \beta r_2^n$$

for some real numbers  $r_1, r_2$ .

*Proof.* Let  $G(x) = a_0 + a_1x + a_2x^2 + \dots$  be the generating function of  $a_n$ . The recurrence relation of  $a_n$  implies that

$$G(x) - a_0 - a_1x + u(G(x) - a_0) + vx^2G(x) = 0.$$

Solving for  $G(x)$ , we have

$$G(x) = \frac{a_0 + (ua_0 + a_1)x}{1 + ux + vx^2} = \frac{a_0 + (ua_0 + a_1)x}{(1 - r_1x)(1 - r_2x)}.$$

Using partial fractions, we have

$$G(x) = \frac{a_0 + (ua_0 + a_1)x}{(1 - r_1x)(1 - r_2x)} = \frac{\alpha}{1 - r_1x} + \frac{\beta}{1 - r_2x} = \sum_{n=1}^{\infty} (\alpha r_1^n + \beta r_2^n)x^n.$$

Therefore,  $a_n = \alpha r_1^n + \beta r_2^n$ . □

1. Prove the identity

$$\binom{m+n}{k} = \sum_{j=0}^k \binom{m}{j} \binom{n}{k-j}.$$

2. Give two proofs of the identity

$$\sum_{j=0}^n 2^{n-j} \binom{n}{j} \binom{j}{\lfloor j/2 \rfloor} = \binom{2n+1}{n}.$$

## Problems

1. Prove the identity

$$\sum_{k=1}^n k \binom{n}{k}^2 = n \binom{2n-1}{n-1}.$$

2. Find the general formula for the sequence  $(y_n)_{n \geq 0}$  with  $y_0 = 1$  and  $y_n = ay_{n-1} + b^n$  for  $n \geq 1$ , where  $a$  and  $b$  are two fixed distinct real numbers.
3. Prove that the Fibonacci numbers  $F_n$  satisfy

$$F_n = \binom{n}{0} + \binom{n-1}{1} + \binom{n-2}{2} + \dots.$$

4. Consider the triangular  $n \times n$  matrix

$$A = \begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ 0 & 1 & 1 & \cdots & 1 \\ 0 & 0 & 1 & \cdots & 1 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \end{pmatrix}.$$

Compute the matrix  $A^k$  for  $k \geq 1$ .

5. Show that the coefficient of  $x^k$  in the expansion of  $(1 + x + x^2 + x^3)^n$  is

$$\sum_{j=0}^k \binom{n}{k} \binom{n}{k-2j}.$$

6. Find

$$\binom{n}{1}1^2 + \binom{n}{2}2^2 + \binom{n}{3}3^2 + \cdots + \binom{n}{n}n^2.$$

7. Let  $S_0$  be a finite set of positive integers. We define finite sets  $S_1, S_2, \dots$  of positive integers as follows. The integer  $a$  is in  $S_{n+1}$  if and only if exactly one of  $a-1$  or  $a$  is in  $S_n$ . Show that there are infinitely many integers  $N$  for which

$$S_N = S_0 \cup \{N + a \mid a \in S_0\}.$$

8. For a set  $S$  of nonnegative integers, let  $r_S(n)$  denote the number of ordered pairs  $(s_1, s_2)$  such that

$$s_1, s_2 \in S, s_1 \neq s_2, \quad \text{and} \quad s_1 + s_2 = n.$$

Is it possible to partition the nonnegative integers into two sets  $A$  and  $B$  in such a way that  $r_A(n) = r_B(n)$  for all  $n$ ?

9. For positive integer  $n$ , denote by  $S(n)$  the number of choices of the signs “+” or “-” such that  $\pm 1 \pm 2 \pm \cdots \pm n = 0$ . Prove that

$$S(n) = \frac{2^{n-1}}{\pi} \int_0^{2\pi} \cos t \cos 2t \cdots \cos nt \, dt.$$

10. Let  $S_n$  denote the set of all permutations of the numbers  $1, 2, \dots, n$ . For  $\pi \in S_n$ , let  $\sigma(\pi) = 1$  if  $\pi$  is an even permutation and  $\sigma(\pi) = -1$  if  $\pi$  is an odd permutation. Also, let  $\nu(\pi)$  denote the number of fixed points of  $\pi$ . Show that

$$\sum_{\pi \in S_n} \frac{\sigma(\pi)}{\nu(\pi) + 1} = (-1)^{n+1} \frac{n}{n+1}.$$